



INVESTOR IN PEOPLE

BEST AVAILABLE COPY

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 04 SEP 2003

WIPO PCT

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the attached copy of the request for grant of a Patent (Form 1/77) bears an amendment, effected by this office, following a request by the applicant and agreed to by the Comptroller-General.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

R. Mahoney

Signed

Dated 25 July 2003

PATENTS ACT 1977

PATENTS FORM NO. 1/77 (Revised 1982)

(Rules 16, 19)

The Comptroller
The Patent Office

26 APR 2002

NEWPORT

26APR02 E714103-1 C02151
P01/7700 0.00-0209523.0

Form 1/77

P1714

REQUEST FOR GRANT OF A PATENT

0209523.0

THE GRANT OF A PATENT IS REQUESTED BY THE UNDERSIGNED ON THE BASIS OF
THE PRESENT APPLICATION

- I Applicant's or Agent's reference (Please insert if available)
- II Title of invention P1714
Improved desiccant stopper
- III Applicant or Applicants (See note 2) 26 APR 2002
- Name (First or only applicant) Desmond Charles DRUMMOND 7388895001
- Country England State ADP Code No. 7388895001
- Address Heathside, Kennett Road, Bury St. Edmunds, Suffolk IP28 6SS
- Name (of second applicant, if more than one) Andrew Robertson DRUMMOND
- Country England State 5782222003
- Address Harwood Villa, Wellington Street, Newmarket, Suffolk CB8 0HT
- IV Inventor (see note 3)
- (a) The applicant(s) is/are the sole/joint inventor(s)
or
(b) A statement on Patents Form No 7/77 is/will be furnished
- Desmond Charles DRUMMOND
Andrew Robertson DRUMMOND
Darren John SMITH
- V Name of Agent (if any) (See note 4) BARKER BRETTELL ADP CODE NO 04023024001
- Address for Service (See note 5) J P L Hooper
ST. JOHNS INNOVATION CENTRE, COWLEY ROAD, CAMBRIDGE, CB4
- VI Declaration of Priority (See note 6)
- Country 5 Haslingfield Road, Harlow, Cambridge CB3 7ER Filing date OWS File number 07442494004
- VIII The Application claims an earlier date under Section 8(3), 12(6), 15(4), or 37(4) (See note 7)
- Earlier application or patent number

51/77
06/01/03
Jm

IX Check List (To be filled-in by applicant or agent)

A The application contains the following number of sheet(s):

- 1 Request 1 Sheet(s)
 2 Description 19 Sheet(s)
 3 Claim(s) No Sheet(s)
 4 Drawing(s) 1 + 1 Sheet(s)
 5 Abstract No Sheet(s)

B The application as filed is accompanied by:-

- 1 Priority document . N/A
 Translation of priority document N/A
 3 Request for Search No ...
 4 Statement of Inventorship and Right to Grant No ...

X It is suggested that Figure No. 1B of the drawings (if any) should accompany the abstract when published.

XI Signature (See note 8)

NOTES:

(J P L Hooper) Agent for the Applicants

1. This form, when completed, should be brought or sent to the Patent Office together with the prescribed fee and two copies of the description of the invention, and of any drawings.

2. Enter the name and address of each applicant. Names of individuals should be indicated in full and the surname or family name should be underlined. The names of all partners in a firm must be given in full. Bodies corporate should be designated by their corporate name and the country of incorporation and, where appropriate, the state of incorporation within that country should be entered where provided. Full corporate details, eg a "corporation organised and existing under the laws of the State of Delaware, United States of America", trading styles, eg "trading as xyz company"; nationality, and former names, eg "formerly (known as) ABC Ltd" are *not* required and should *not* be given. Also enter applicant(s) ADP Code No. (if known).

3. Where the applicant or applicants is/are the sole inventor or the joint inventors, the declaration (a) to that effect at IV should be completed, and the alternative statement (b) deleted. If, however, this is not the case the declaration (a) should be struck out and a statement will then be required to be filed upon Patent Form No 7/77.

4. If the applicant has appointed an agent to act on his behalf, the agent's name and the address of his place of business should be indicated in the spaces available at V and VI. Also insert agent's ADP Code No. (if known) in the box provided.

5. An address for service in the United Kingdom to which all documents may be sent must be stated at VI. It is recommended that a telephone number be provided if an agent is not appointed.

6. The declaration of priority at VII should state the date of the previous filing and the country in which it was made and indicate the file number, if available.

7. When an application is made by virtue of section 8(3), 12(6), 15(4) the appropriate section should be identified at VIII and the number of the earlier application or any patent granted thereon identified.

8. Attention is directed to rules 90 and 106 of the Patent Rules 1982.

9. Attention of applicants is drawn to the desirability of avoiding publication of inventions relating to any article, material or device intended or adapted for use in war (Official Secrets Acts, 1911 and 1920). In addition after an application for a patent has been filed at the Patent Office the comptroller will consider whether publication or communication of the invention should be prohibited or restricted under section 22 of the Act and will inform the applicant if such prohibition is necessary.

10. Applicants resident in the United Kingdom are also reminded that, under the provisions of section 23 applications may not be filed abroad without written permission or unless an application has been filed not less than six weeks previously in the United Kingdom for a patent for the same invention and no direction prohibiting publication or communication has been given or any such direction has been received.

BEST AVAILABLE COPY

File No: P1714

App. No:
App. Date:

PATENTS ACT 1977

PATENT SPECIFICATION

APPLICANTS:-

Desmond Charles DRUMMOND
Heathside
Kennett Road
Bury St. Edmunds
Suffolk IP28 6SS
England

and

Andrew Robertson DRUMMOND
Harwood Villa
Wellington Street
Newmarket
Suffolk CB8 0HT
England

TITLE:-

Improved desiccant stopper

INVENTORS:-

Desmond Charles DRUMMOND
and
Andrew Robertson DRUMMOND
and
Darren John SMITH
29 West Road
Bury St. Edmunds
Suffolk IP33 3EL
England

PRIORITY:-

None

AGENT:-

John Hooper, Cambridge, England

P1714

Improved desiccant stopper

This invention relates to desiccant stoppers, and concerns in particular stoppers, in the nature of small plugs, that fit into the tops of bottles, such as pill bottles, and absorb any free moisture (or odours and the like) in the bottle so as to prevent the pills from being damaged thereby.

Desiccant stoppers are used to control the moisture or odour vapour levels of air, within a sealed container, such as a bottle, jar, bag or box, and to control the closed atmosphere to the benefit of sensitive products such as pharmaceuticals packaged within.

Desiccant stoppers are produced in a number of sizes and types relevant to the size and nature of the container and the content to be protected. They must be non-toxic, resistant to water, strong, sterile, and able to provide a microbial barrier. They must also be dust-free, and dust-proof.

A desiccant stopper can be constructed in a number of ways, but in the main they follow a similar pattern; they comprise a suitably-sized capsule, rather like a small pot or jar, as the desiccant holder, and after this has been filled with the chosen desiccant it is capped with either a porous-type material wad (such as a thin disc of cardboard) crimped into place, or capped with a moulded plastic lid with cast-in perforations.

Dependant upon their end use, desiccant stoppers can be filled with a wide variety of desiccant-material content. In the event that they are required to control moisture, suitable absorbent materials are silica-gel, or molecular sieve, while for the control of odours, granulated carbon is used. Some devices can be used to control the level of a specific gas within a sealed container where the gas might have an ill effect upon the contents. One such gas is oxygen; oxygenation can often lead to serious content deterioration. In some instances, a mixture of several different materials, and types of material, will be formulated and used, and there are a number of proprietary brands of admixtures on the market.

Regardless of their content, these stoppers are generally referred to as "desiccant stoppers"; their use is extensive within Pharmaceutical, Veterinary, Foodstuffs, and Electronics goods packaging.

Though usually conforming to specific dimensions, desiccant stoppers can be constructed in a number of ways. One such way is to select a suitable size of cup- or pot-shaped capsule as the desiccant holder, fill it through its one open end with desiccant (or whatever), and then close the open end with a permeable wad, of papers, or plastic, or the like, crimped into place. However, the integrity of a crimped wadding seal, though good at the outset, is often unreliable

once the stopper has been subjected to fatigue during machine processing, packaging and transportation. Moreover, crimping plastic materials often results in the plastic attempting to recover to its original shape - the shape prior to the crimping operation - and the resultant relaxation can easily produce poor seal properties. Furthermore, the poor performance of conventional low-permeability wadding materials is never coequal with the total efficiency potential of the desiccant being used.

A second constructional method uses a pre-moulded plastic lid with very small perforations, the lid being secured to the capsule body as a press fit. However, injection-moulded plastic containers, or lids, are not permeable to vapours and odours, and so prevent the vapours or odours reaching the desiccant within the stopper. Moreover, the usual desiccant materials are somewhat variant in particle size, and the smallest particles can too easily escape either through loose-fitting parts, or through the moulded perforations - and plastic injection-moulded lids with "moulded in" small perforations are poor in performance, and can be subject to flashing which, when present can partially or even completely block the holes. In addition, the size of the perforations is determined by the physical size of the mould "shut out" area. Finally, it is to be expected that no matter how small the moulded perforations they are bound to be big enough to allow the escape of at least some of the desiccant material, which will inevitably have particles of a smaller size.

A third way of constructing the stopper is to close the capsule after filling with a highly-permeable porous membrane material capable of being heat sealed, or welded, direct to the capsule body. This is the most effective method of the three different ways described, as the seal is a bonding action, and, if secure, is dust proof. The present invention relates to an improved method of making a stopper using such a heat-sealable porous membrane.

A most important part of any desiccant stopper is the porous membrane section, which allows ingress of the moisture or odour vapours to the "active" material, such as a desiccant, within. In many instances, manufacturers use materials which have not been specifically designed for such membrane use, and adapt materials which are well below the required performance levels. As noted above, the ideal membrane should be designed to promote optimum permeability, but should also control the escape of fine particles from the sealed container (many desiccant materials used are of inconsistent particle size, and the very smallest of the particles will escape given the opportunity to do so - such as through the inevitable gaps round the edge of a crimped cardboard disk seal). A further requirement is the need to use a sterile material which will not support bacterial penetration or growth. In addition to these qualities the membrane must be strong mechanically, and must remain so during performance.

The permeability of the membrane is a most important characteristic, as the efficiency of the desiccant stopper is wholly dependant upon the ability of the membrane to allow an uninterrupted

flow of gases through the material, in the direction it is required. Some highly permeable materials may be unsatisfactory if they also allow passage of extremely fine particles through the membrane, allowing the desiccant to escape, causing contamination.

One material that would seem to be ideally suited for use as the membrane is that spun-bonded breathable plastic (Low Density Polyethylene, or LDPE) material known as TYVEK HBD 1059, and manufactured by Dupont. By using not moulding techniques but rather spinning methods of manufacture, the finished form of the material is a sheet of determined thickness, where the multiple strands that are employed to compose the matrix overall overlay each other in an ordered manner to create an apparently solid sheet, but which is extremely porous. The porosity is provided through the micro spaces which exist between the myriads of layered spun fibres.

Spunbonded materials made from LDPE or the like - referred to hereinafter for convenience simply as "spun-bonded plastics" - are immensely strong, and will not tear. They will bond with other plastic parts of similar plastic specification providing temperature profiles are strictly observed (being extremely thin, typically as little as 0.15mm thick, they are easily performance-impaired, even destroyed, by the application of too much heat).

Spun-bonded plastics, and particularly the TYVEK types of material, are most suitable to act as a permeable membrane for desiccant stoppers due to the superb transfer through them of moisture and odour vapours. The microporosity of the material controls dust emission, and the high tear strength and puncture resistance is perfect for ensuring the integrity of the final finished article. Another example of such a spun-bonded plastics is that material available under the name TELJIN, and manufactured by Unisel (now amalgamated with Dupont).

The present invention, then, relates to the idea of a stopper in which the "wadding" is a porous plastics material, of the spun-bonded plastics type, that forms the end face of the stopper itself, the wadding being embedded around its periphery within the stopper material. More specifically, it relates to a particular method by which such a structure can reliably be manufactured, namely sonic welding. This method can be employed to produce a stopper which is of a thermoplastics material and in the form of a pot at least one end face of which is made from a fibrous fabric sheet of a plastics material - a spun-bonded plastics material - attached around its periphery to both the body of the pot and a lid for the pot body, and wherein the attachment is effected by the sheet being actually fused to the material of the body and lid.

More specifically still, the invention relates to a method for the production of such a stopper, in which method the fibrous fabric sheet is held in place between the two relevant parts - the stopper body and the lid - and these two are then fused together and to the sheet so that on cooling and solidifying they form a solid, integral plastic supporting frame around the sheet fused thereinto. The term "fused" as used herein means that the materials of the body and lid and the materials of the sheet have become one integral body, as though the

entire stopper had been cast in a single piece. Thus, the body and lid materials are not merely attached to either side of the fibrous sheet, and do not even merely extend integrally through the sheet, but are instead actually integral with - have become one with - the sheet.

The method of the invention might be said to be characterised by the manner in which the membrane and body/lid parts are disposed to enable the required sonic fusing to take place. This is discussed in more detail hereinafter, but briefly it relates to the discovery that a high density pot/lid plastic can most reliably be fused with a low density membrane plastic provided that the pot/lid make physical contact with each other, to transmit the vibrations and make them melt, with the membrane retained in a recess between the two such that it, too, melts - to become fused integrally with the pot/lid - but only at its very outermost edge.

Thus, in one aspect this invention provides, in a method of constructing a desiccant pot by trapping a spun-bonded low density plastic membrane between two separate parts constituting either the pot's lid and its body, these two separate parts being made of a high density plastic, and then fusing the membrane and the separate parts together, the steps of

- a) shaping the two touching surfaces of the two separate parts such that one surface has a recess into which fits the peripheral area of the membrane,
- b) assembling the three components so that the two separate body and lid parts are pressed together in direct physical contact over their touching surfaces save for where the membrane is trapped between them in the recess, and
- c) applying ultrasonic energy to one of the two separate parts whereby each part is caused to vibrate sufficiently to heat it and fuse it both to the other part and to the membrane trapped between the two.

The stopper is pot-like - that is, it is in the shape of a small container (perhaps 0.6in [15mm] across, and 0.8in [20mm] deep) for holding in use the desiccant (or other) material contained by the stopper. The stopper can be of any convenient cross-section, but a tubular section is generally most suitable, fitting into most containers of pills or the like.

The stopper ends up as a one-piece (integral) object, but for manufacturing purposes it is formed from at least three pieces, namely a body portion, a lid (or cap) portion that fits into the body portion, and a membrane portion that is placed between and fuses to the body and lid portions. The lid (or cap) portion is conveniently a simple one-piece object that fits into the body portion, the membrane portion being between and fused to the body and lid portions.

Of course, although the body portion may be formed with its other, non-lid end, already closed, it is also possible to have the body

BEST AVAILABLE COPY

portion as an open-ended central ring portion, with a cap/lid at each end.

The flat bottom surface of the body portion, and the flat top surface of the (or each) lid/cap portion, provide the two end faces of the stopper; one or both of these is made from the fibrous fabric sheet of plastics material fused sealingly around its periphery to the main/side parts - the wall portions - of the body or lid appropriately.

Obviously, the material from which the main parts of the stopper body/lid are made and the material from which the fabric sheet is made must be such that they can be welded/fused - that is to say, caused to flow into each other so as to intermingle and thus become integral. This is perhaps easiest if the two materials are the same general type of material. However, this does raise potential problems when using sonic welding techniques to fuse the components together, as is explained hereinafter.

For the stopper a typical preferred material is a High density Polyethylene (HDPE), and examples of this are that material known as LADENE (an HDPE manufactured by SABIC Marketing Ltd. Saudi Arabia and distributed in the UK by ALBI Ltd., of Knutsford. Cheshire), and the material sold by BP Chemicals as "BP High Density Polyethylene" (and distributed in the UK by Distropol Ltd., of Chertsey. Surrey). Each of these grades of HDPE are FDA approved, and are also suitable for near field and far field ultrasonic welding.

For the membrane the preferred material is, as intimated above, that known as Perfecseal HBD 1059B TYVEK, manufactured by Dupont. This is a low density polyethylene. Dupont produce a range of materials under the Trademark TYVEK, each of which have specific end uses. Many of the products from this range are suitable for the purposes which are here described. Another suitable material is that available under the name TELJIN, and manufactured by Unisel. The principal purposes of these types of materials are as breathable fabric membranes used to construct bags or sachets, or to cover plastic or foil tray-like containers, to which they are fastened using conventional heat sealing techniques. For best results a large area of contact is required between the two materials which are to be joined.

The use of a spun-bonded breathable LDPE material as the wadding medium has many advantages beyond the capabilities of paper-based wadding, as the available literature on the product describes, but there are significant problems in the application of the product when using normal wadding techniques, as described hereunder, and it is to overcome these that the technique of sonic welding is utilised.

Paper-based wads are available in varying grades of board, surface finish, and thickness. They are usually at least 0.65mm thick, when used in small diameter desiccant stoppers (typically 12mm diameter), and proportionately thicker as diameters increase, and they are stiff in structure. The manufacturing process is similar to that of producing cardboard, but with a fine paper finish for cosmetic reasons.

The thickness of the chosen board is important, as it contributes to the structural strength of the finished product. When crimped into place, the wad forms one end of the finished desiccant stopper, where it is the moisture- or odour-permeable window to the capsule. It is also the mechanical end of the desiccant stopper container proper.

Now, spun-bonded plastics such as those of the TYVEK type are generally very much thinner in comparison to paper-based wad materials, and whilst immensely strong are also extremely flexible. Unfortunately, these features do not allow a simple substitution of spun-bonded plastics for a card wad as the flexibility of the former material lacks the required mechanical strength found in the latter. In addition, these spun-bonded plastics materials are relatively thin - typically 0.15mm thick - and they do not compress to a sufficient depth to allow the crimped edge of the plastic to embed into the membrane and anchor it firmly (this is an important requirement of crimping). To be mechanically effective, spun-bonded plastics materials need to be anchored to the container wall in a completely satisfactory bonded manner.

Another possibility for spun-bonded plastics materials is glueing. Some of these materials are available with an adhesive coating, to facilitate a heat-sealing join to a suitable substrate, but the strength of the seal is directly related to the two surface areas being brought together. If that surface area of sealing is extremely small, then the integrity of the seal is suspect.

The invention proposes a specific production method which allows the satisfactory formation of a desiccant stopper which employs the rigidity of a plastic injection-moulded capsule for the body of the unit, and a membrane of a suitable spun-bonded plastics material - most preferably of the TYVEK type - at one or at both ends of the plastic body to allow the ingress of either moisture or odour vapours through the membrane to the encapsulated desiccant materials contained within. In this method the membrane is held in place between the stopper body and the lid, and these two are then fused together so that on cooling and solidifying they form a solid, integral plastic supporting frame around the sheet embedded therein. This fusing is carried out by a sonic welding process (described in more detail hereinafter).

The invention provides a method of making a desiccant stopper of the invention by fusion of the main stopper parts, in which method the fibrous fabric sheet material membrane to be the end wall of the main part is held in place between the body and/or the lid portions, and these components are then fused together by sonic welding so that on cooling and solidifying there is formed a solid, integral plastic supporting frame around the sheet embedded therein.

This method relies upon the technique of sonic - that is to say, "ultrasonic" - welding of thermoplastic parts to fuse the body and lid parts together, embedding the membrane therewithin. This technique is now described in more detail.

BEST AVAILABLE COPY

The principle of ultrasonic assembly involves the use of high-frequency mechanical vibrations transmitted through thermoplastic parts to generate a frictional heat build-up at an interface. The effect of the vibrations causes intense friction between separate but touching parts, causing the materials to heat and melt and weld/fuse together.

This vibrational movement is effected by a vibrating component called a "sonotrode", which is applied at right angles to the surface of a part to be welded. The latter starts to vibrate throughout due to a series of stationary waves, with a maximum amplitude in the area of contact of the two parts to be joined.

Ultrasonic plastic welding techniques, have been successfully used for more than 25 years by engineering companies within the plastics industry.

After cooling, which is rapid, a solid homogeneous weld results between the parts of the assembly. The membrane is thus integral with the solid plastic of the body and lid parts, and so is inseparable therefrom. It thus provides an impermeable barrier to the passage of microparticulate matter, preventing escape of the chemical ingredients of the capsule, and so making the stopper unit both dust-free and dust-proof. The membrane is presented as a window to the stopper, allowing unimpeded passage of moisture or odour vapours, in either direction, through the microporous material.

One important factor relevant to the use of sonic welding for this purpose is the compatibility of the body/lid material and the membrane material. The preferred choice for the spun-bonded plastics membrane material is TYVEK, which is a Low Density Polyethylene (LDPE) product. It might then be thought that a low density material, and specifically a low density polyethylene, should also be used for the body/lid material. However, it transpires that low density materials such as this are in fact rather difficult to weld sonically. The reason seems to be that they are too "soft", and vibrations generated in one part tend to get damped out too easily, and are not transmitted through to the other part, so that the two do not move against each other in a manner sufficient to produce friction and heat - and without that heat, of course, they do not melt, and so do not weld/fuse together.

For good sonic welding it seems that the materials must be high density materials. However, if one attempts ultrasonically to weld extremely thin LDPE between thicker sections of *High Density* Polyethylene (HDPE), the results are not good. It appears that the low density membrane material deadens the vibrations created by the ultrasonic welding equipment, and denies the two individual components, at their adjacent surfaces, the optimum friction build-up required to melt the plastic and so create a satisfactory fused bond. The solution to this, as put forward by the present invention, is to locate the membrane in a shallow recess between the two touching surfaces of the body/lid, such that these two surfaces to touch, directly, beyond the recess, so avoiding the deadening of the vibrations by the membrane and therefore providing a good weld. However, the recess should be shallow enough for the membrane effectively to be trapped between, and

itself in contact with, the two body/lid touching surfaces, in order that the heat they generate when sonically vibrated, and the heat generated in the peripheral area of the membrane itself, causes the three components to be fused together.

Another problem to be overcome is particular to the use for the membrane of very thin sheets of spun-bonded plastics (as is TYVEK). Such extremely thin material is subject to degradation, and can be weakened at the point of join due to stress thinning. The stress area is around the entire outer edge of the membrane at the point where the membrane is seen to be fixed to the stopper body at the inner dimension of the container diameter. Stress thinning is caused when (thin) material is heat-sealed directly to a thick piece of plastic material, to create a membrane-covered opening.

Another crucial factor is temperature. Spun-bonded plastics such as TYVEK are extremely thin, and are prone to the effect of high temperatures which causes them to shrivel. Exposure to temperature in excess of 125°C renders TYVEK useless, as the matrix which provides the permeability melts, collapses, and congeals into an impermeable structure. The melt point at which HDPE becomes molten, is approximately 150°C; this temperature is higher than the destruction point temperature of TYVEK, so it might be thought that fusing a low density membrane to a high density stopper body/lid would be impossible. However, while merely fusing a sheet of an LDPE material such as TYVEK to a body of an HDPE material is indeed fraught with problems, these seem to be banished in the case where the low density sheet is trapped around its periphery between two high density surfaces; surprisingly, placing the low density membrane between two high density parts in the form of a sandwich does in fact produce a reliable fusion of the components. Accordingly, locating and trapping the low density membrane in the recess between the high density body/lid overcomes the problem of high temperature upon the former, as the melt temperature of the high density parts is contained local to the fusion point of the two, leaving the greater expanse of the low density membrane completely unaffected.

The method of the invention uses ultrasonic welding, utilising a sonotrode. The frequency of vibration of the sonotrode is in the order

2021 AVAILABLE COPY

of 20 kHz, which is outside the limit of perception by the human ear. For this reason, this assembly process is called ultrasonic welding.

The success of this technique depends entirely on the ability of the materials to propagate vibrations without damping them; excellent results can be obtained with suitable thermoplastic rigid materials with a high modulus of elasticity. The method permits the welding of objects of very complex design with a sonotrode which is very simple in form.

As referred to hereinbefore, the stiffness of the polymer to be welded will influence its ability to transmit the ultrasonic energy to the joint interface. Generally the stiffer a material the better its transmission capability. It is usually not possible to weld materials of different types by ultrasonics, due to the differences in fusion temperature. If the macromolecular structure is not the same for both materials, it will prevent interpenetration.

During the period of time that the ultrasonic vibration welding method is applied, a degree of pressure must be exerted to hold the components together. Each high density component must be in contact with the other in order that the ultrasonic vibrations can be transmitted through the component nearest the sonotrode. Moreover, each component should be designed to provide a sufficient surface area to be in firm contact with an equal surface area on the part to which it is to be welded. The fusion takes place at the interface of the two surfaces. Each component is held together by applied pressure at the point(s) at which they become welded.

The low density membrane is placed between the two high density components. However, since the latter must contact each other their emplacement of the membrane is by way of a recess at the contact surface of one or other high density component, this recess being sufficient to accommodate its thickness. In this way the peripheral edge of the membrane is positioned directly in contact with the high density components immediately adjacent the point of their interface.

As the ultrasonic vibration is applied, and with the simultaneous application of pressure holding the combination together, the ultrasonic vibration passes through one of the high density parts and on into the second, causing friction effects at their interface. The friction produces heat sufficient to melt the plastic - both of the body/lid (the two contacting high density components) and the membrane (the low density component), allowing the plastics to flow, and by the pressure being exerted upon the two parts forcing them together, fuses all three into an inseparable bond. Thus, the membrane is securely fused to the container, being embedded at all of its outer edges, and at any interface with the capsule body and/or the retaining end cap(s). The edges of the membrane and some of the surface membrane material close to the edges is penetrated by the flowing plastic, over moulded and through moulded by the molten plastic whilst liquid and under pressure. The molten plastic of the body end cap(s) fuses to the spun filaments of the fibrous spun-bonded plastics material which is the membrane, and as it cools solidifies and forms a solid plastic frame around and through the membrane. The spun-bonded plastics material itself is also part welded in the operation, as some degree of sonic vibration is effective between

the low density membrane and the high density capsule body; this further enhances the fusion bond between the body and lid high density components.

The following points should be borne in mind when using sonic welding.

1. The cap/lid is to be welded to the body, and while this could be a butt weld it is preferred to chamfer each abutting face in a matching manner, to form a larger weld surface. Specifically, the edge of the side wall of the cap(s) is moulded to a form recommended as a correct interface profile for ultrasonic welding.
2. The edge of the side wall of the body is correspondingly moulded to a form recommended as a correct interface profile for ultrasonic welding, but also incorporates a section which, when the two plastic components (body & cap) are placed together with the TYVEK type material also in place, acts as a snap fit to temporarily secure the components together, with the underside of the cap in close proximity with the uppermost side of the top edge of the inserted profiled wall of the plastic body.
3. When a membrane window is required at both ends of the stopper, the process described is repeated at the opposite end of the container, which is moulded to suit.
4. It is normally most convenient to assemble the stopper one end at a time, in an upright position, with the end cap placed on top at the time of assembly and ultrasonic welding.
5. Once correctly positioned, with the membrane held therebetween, the body/lid mouldings are ultrasonically welded together to form an integrally-joined capsule. The or each porous membrane is encapsulated within the previously separate components, held in place by the weld between the body and the relevant cap or end.

In this way the fabric sheet - the spun-bonded plastics TYVEK-type material - is fused around its periphery to the material forming the stopper body/lid combination. The membrane is thus presented as a window to the stopper container's body or lid portion, and thus in use allows unimpeded ingress by moisture or odour vapours. Moreover, reinforced as it is by the plastic frame in which it is totally suspended, the membrane acts as a structural form securing the contents of the stopper from loss or damage.

As can be inferred from what has been said above, there is a choice of spun-bonded plastics material membrane at one or more positions on the desiccant stopper. Typically the position of a single membrane could be at the end of a stopper, whilst a stopper with two membranes could have them situated one at either end (the purpose of two membranes would be to allow a faster ingress of vapours).

And as also noted above, in the case of a stopper with one membrane only, it will be seen that there is a requirement for two

BEST AVAILABLE COPY

parts. One is the body portion - the receptacle into which the desiccant is placed, while the other is the lid portion. Either may carry the membrane as its end wall, but usually it is more convenient to use the lid for this.

When two membranes are required within a single desiccant stopper the unit can be constructed in a variety of ways. One preferred way is to manufacture the unit in three or more parts, comprising two separate cap/lid-like end parts and one (or more) central body part open at both ends. The end parts - each identical in manufacture - carry the membrane as a tight fit, and each is welded separately to the central body part to form the complete container (into which the fill content is placed before attachment of the second "lid" end to complete the structure).

One further advantage of the described method of assembly is the ability to pre-prepare the components ready for use. The spun-bonded plastics membrane discs can be pre-cut and inserted into the cap ends where they are held fast being an interference fit, before being fitted to the container body. Similarly the body of the capsule can be pre-assembled to one cap end with the spun-bonded plastics membrane already inserted, and sonic welded together, as a pre-form produced for the filling machinery.

The cosmetic value is greatly enhanced. The method of trapping the spun-bonded plastics membrane between the end of the container and the inside of the cap end, into which the disc of Tyvek had previously been inserted, deals with the unsightly problem of exposed membrane edge, which is recognised as a cosmetic flaw, as the edge is hidden, trapped internally.

Where the several parts of the stopper - the body and one or more end cap/lid - are manufactured separately (and then joined together) it is of course possible to give them different colours. This may be used, if wished, for identification purposes - to indicate, perhaps, either what is inside the stopper (what desiccant is used) or what the stopper is to be employed with (what materials or articles it can be utilised to keep dry, say).

The area of membrane left exposed as a window of permeable material to the container, is not affected in any detrimental way, and its efficacy is not impaired. The design allows ingress or egress of gaseous vapours through the membrane, to or from the encapsulated chemical materials contained within the capsule, but prevents leakage of the contents, due to the high integrity and reliability of the seal, caused by the fusion of each of the components to become one integral part.

Ultrasonic welding

The process

The process of ultrasonic welding as here applied utilises the ability of thermoplastic resin to form a welded joint at the interface of two parts when, by generating heat at the interface by applying directed mechanical vibratory energy, the plastic melts, and becomes fluid. Once the resin is molten at the joint, it flows at the point(s) of contact, and if a force is applied during the time of the heat generation the parts are pressed and fused together to form an inseparable homogeneous link. This technique is fast, efficient, non-contaminating, and does not require consumables.

Ultrasonic assembly systems are available in several levels of frequency, and can be controlled in terms of time, energy, energy compensation, distance and force applied. Suitable frequencies for a system capable of the type of assembly required in this invention are around 20 kHz, rated at between 1100 and 3300 Watts.

The equipment

Branson Applied Technologies Group, of Hayes, Middlesex manufacture a wide range of ultrasonic vibratory welding machines. Ultrasonic Engineering Ltd, of Singapore Road, London manufacture a specialist range of ultrasonic vibratory welding machines.

A typical ultrasonic vibratory welding system consists of four essential components.

- 1) The power supply - electronically converts the 50Hz mains supply to the required 20kHz operating frequency.
- 2) The converter - also driven from the power supply - consists of a number of polarised piezoelectric discs which have a natural resonance to 20kHz. When subjected to the ultrasonic frequency output from the power supply, the discs increase, and then decrease their physical size, in the same time frequency as the power supply, in other words at a speed correspondent to 20kHz. In this way vibrations are caused by the electrical signal being converted into mechanical energy, and they are passed directly to the horn/(sonotrode), which is then brought into contact with the work piece for a determined time and set pressure, to produce intense friction and a subsequent weld in a very efficient and controlled way.
- 3) Acoustic tooling - used to couple, and boost energy from the converter to the parts to be welded. The tooling is manufactured from either titanium or aluminium alloy and is tuned to resonate at the same frequency as the converter. The amplitude, or vibration movements, from the converter alone is often insufficient to generate a weld, so acoustic tooling, or horns/(sonotrodes) as they are called, are installed to amplify the amplitude to a corrected value. In order to obtain the correct resonant frequency, and a magnification of amplitude to the correct level, to provide and transfer uniform movement to the working face of the horn/(sonotrode), great care is necessary to determine the

correct design of shape and mass of the tooling, and the material from which it is manufactured.

Acoustic tooling is made from two parts: a booster horn which modifies the amplitude from the converter to the correct value for the specific application; and the work horn/(sonotrode) designed for the job. The work horn/(sonotrode) is configured to suit the geometry of the parts to be welded.

The ideal situation is to provide a flat face for the work horn/(sonotrode) to channel energy into the parts.

Operating the method

Vibratory energy under pressure is passed into the work piece by the acoustic tool or horn which is brought into contact with one of the parts to be welded. Vibratory energy is passed through the work pieces resulting in localised frictional heating at the joint interface surfaces, causing the plastic to melt. On termination of the energy input, the plastic solidifies forming a high strength molecular bond. The weld is achieved in a time of typically less than one second, The heat generated is local to the joint area.

4) The actuator - a simple, pneumatically controlled device which brings the acoustic tooling into contact with the work piece. It must be of rigid construction, able to consistently present the welding horn/(sonotrode) accurately onto the work piece, and maintain a set pressure for the duration of the weld time.

Usually it is mounted on an compressed air driven cylinder, which has controlled movement up and down, or through other single axis directions.

Efficiency

Two factors which establish a thermoplastic resin's ability to weld, are how well it transmits energy from the welding horn to the joint interface, and how good is its coefficient of friction and melt temperature.

For ultrasonic welding purposes, resins are divided into two major groups, Amorphous or Crystalline. These groupings refer to the molecular structure of the plastic. In the solid state, amorphous plastics have no ordered structure. This enhances the materials ability to transmit energy.

Amorphous materials do not have a precise melt point. With heat they become soft before they then become a viscous liquid. This progressive melt to molten state aids the welding process by allowing at the point of interface, fusion of the liquid plastic. The process is easy to control.

Far and near field welding

Clearly distance to the joint line from the horn land area is a critical consideration. To assist with defining a resin's ability to transmit ultrasonics the terms "near field" and "far field" have been

adopted for defining distance of energy transmission. These terms are generally applicable to 20kHz operation.

Near field welding is defined as the distance between horn/(sonotrode) and joint interface if less than 6 mm. Reasonable energy transmission in rigid amorphous materials can be obtained over this distance.

Far field welding is defined as the distance between horn/(sonotrode) and joint interface when greater than 6 mm.

Other factors

Two other factors of consideration are component rigidity and the energy route to the joint or interface.

The actuator will apply a pressure of between 20 and 80 Newtons to the parts to be welded at the time of the welding process. The assembly must be designed to withstand such pressure during the operation. The application of a set measure of pressure is important to the mechanical action of the Horn/(sonotrode) at the time that it is in contact with the plastic component(s), and it must be progressive, as the plastic material when molten partially compresses whilst under pressure from the actuator.

Good component design is crucial for successful welding. In order for the process to work, energy has to be transmitted through the components one to the other to promote a good weld, the strength of which is determined by the depth of penetration. A weld depth of 0.5 - 1.0 mm is considered sufficiently strong for the application described.

To ensure good repeatability of weld, the settings of the equipment should be observed and committed to record. Ultrasonic equipment can be integrated into automated systems. Any information relevant to weld parameters, such as time, amplitude, frequency, energy, or distances, if available digitally from the welder equipment, can be extracted, recorded, and stored in a database.

An embodiment of the invention is now described, though by way of illustration only, with reference to the accompanying diagrammatic Drawings (the Drawings are based on cylindrical and circular designs, but other shapes are also suitable for moulding) in which:

Figures 1A & B show in plan and in section a desiccant stopper of the invention;

Figures 2a & B show in section both final and exploded part views of a stopper of the invention.

Figures 1A & B are almost self-explanatory. They show a desiccant stopper (generally 11) of the invention. The stopper is tubular, and of circular section, and thus is like a small pot.

The version of stopper shown here has a tubular main body portion (12) and two annular lid portions (13), one at each end. Each lid 13 fits on to the body using mating recesses (14a in the lid, 14b in the body, as shown). The top (as viewed) surface of the lid 13 is a "window" (15) made of a porous fibrous fabric sheet material membrane; this is shown in Figure 1B with diagrammatic perforations, to indicate that it is a porous sheet, but in fact it has no visible perforations at all. All around its edge (16) the sheet is trapped between, and integrally fused to, the lid and the body.

In the embodiment of Figure 1 the membrane 15 is located between the body 12 and the lid 13. In Figures 2A & B this is shown in more detail. It will be seen (in Figure 2B) that the upper (as viewed) internal wall of the body portion 12 is itself recessed slightly (at 27) on its internal side, to form a seating for the membrane 15, with the unrecessed part (28) then in the assembled state pressing hard against the internal undersurface of the lid portion 13.

The following Example is now given, though by way of illustration only, of the application of the method of the invention to produce a stopper incorporating a spun-bonded breathable LDPE membrane.

The assembly process is divided into six stages. For the purpose of explanation, each stage is described as a single action, but in production, the action will be constantly repeated. It is implied that at the completion of each single action, at all stages, the process is repeated by command of an electronic instruction. All stages are simultaneously active, synchronised to repeat at the same time.

Stage 1) Plastic injection moulding of the plastic
component parts.

The body of the capsule, and the end cap(s) are produced using modern injection moulding techniques, from High Density Polyethylene with a melt index of 30.

Multi cavity moulds are employed to produce high quality mouldings of each of the components required, from moulds incorporating hot runner systems. This method has the effect of producing cosmetically pleasing mouldings, to satisfactory dimensional tolerances, with very little scrap or reject rate, due to the absence of sprue and runner.

Use of a high melt material assists in the moulding cycle time, particularly in the manufacture of thin wall, or fine detail items. The mouldings can be produced to colour of choice.

It is known that High Density Polyethylene materials which have a high melt index figure are also likely to be stiffer after moulding than lower melt index materials made from the same material. The effect of the stiffness factor in the finished components assists the ultrasonic welding processes that follow. High Density plastic materials respond well to ultrasonic welding processes, but weld performance is enhanced when the stiffness of the plastic material contributes to an improved flow path for the sonic vibrations to the component(s) interface, which is the required point of fusion/weld.

Both that material known as LADENE and the material sold by BP Chemicals as "BP High Density Polyethylene" are satisfactory.

Stage 2) Preparing the spun-bonded breathable
LDPE membrane.

The spun-bonded breathable LDPE membrane was made from TYVEK HBD 1059.

Tyvek is extremely strong, and is exceedingly difficult to cut repeatedly, without the need to constantly re-sharpen the punch tooling. A better and totally consistent method, is to use Laser cutting techniques which do not rely on sharp edge forms to cut.

Using a laser - typically a Carbon Dioxide Laser - to cut material is in general well known, and needs no detailed comment here. It is, however, well suited to adaptation as a device for marking and cutting plastic materials, and is particularly efficient and economic with thin film-like materials.

A suitable laser cutter is available from Alltec UK Ltd., of Maltby, South Yorkshire., while Laserex/Hi-Tech UK Ltd., of Rotherham, South Yorkshire, manufacture a range of ancillary equipment essential to Laser operation.

Tyvek type material can be procured in reel form, and can be slit to any width required.

Tyvek is very inclined to static interference during handling, and discs pre-cut and stored together, are prone to collect in difficult to separate masses. Precautions are recommended to be taken to prevent the problems that this state causes. The manufacturers recommend a number of solutions to the problem in their literature.

One preferred method of control of the Tyvek discs whilst being cut to size from the reel web, and to avoid the problems of static interference (the discs stick together), is to hold the Tyvek material prior to the time of the Laser cut with a vacuum-operated suction cup, and then to Laser-cut around the suction pad, to the disc size required, the Laser cut to be completed whilst the Tyvek is held firmly in place by the suction pad. The advantage of this method of handling is that first of all, the Tyvek disc is under control as a single item, and is not allowed contact with other discs, so avoiding the static which is caused when discs in multiples are in contact with each other. Secondly, the cut disc, firmly held, can be positioned very precisely into the presented end cap of the capsule, at the following stage of the operation.

Thus: this method of operation is conducted by passing the Tyvek web of material from the primary reel, over the suction cup section of a pick-and-place pneumatically-operated dextrous robot arm, which upon command will move up and under the Tyvek web, and will fasten itself by suction onto the underside of the Tyvek material prior to the Laser cut.

The Laser cutting equipment is then situated over the Tyvek web, and cuts in a downward motion around the vacuum suction cup, which at completion is left holding the separated disc. The robot can now remove the disc from the path of the overhead reel by pulling it downwards, and because of its dextrous capability can then place it into the end cap, at an adjacent point, before returning to repeat the process. The method can be reversed.

After the previous Laser cut, the Primary reel of Tyvek indexes forward on command, to present a new area of uncut web to the suction cup section of the pick and place robot arm, and the expended perforated section of the reel of Tyvek is wound onto a Secondary reel, as scrap. The process then repeats.

It will be recognised that a bank of suction pads can be arranged to accommodate a number of discs to be cut either singly by the computerised Laser beam moving from one location to the next, or by a number of Laser beams working simultaneously.

Stage 3A Emplacing the membrane in the lid

The end caps are presented to an adjacent point of access for the robot arm, in an open, end-up position, delivered to that point via a vibratory bowl feeder and collation device, which sorts the components into a continuous stream of right-way-up components ready to receive the Tyvek disc.

The disc is pressed into the end cap, and is retained by an interference fit between the outer edge of the disc and the inner dimension of the outer wall of the end cap which is raised around its circumference for that purpose.

The end cap together with the inserted Tyvek membrane is now conveyed to the next stage.

A second stream of end caps, together with inserted Tyvek membrane discs is conveyed to Stage 5 to cap the other end of the capsule body, and so form the finished product. The caps in this second stream of end caps are inverted on route to enable presentation to the capsule by the robot arm in the correct attitude. The Tyvek membrane is retained in place, being an interference fit.

Stage 3) First assembly of plastic components

The end cap is now part assembled with the inserted Tyvek membrane disc, and is in the correct attitude of open end/right way up.

Tubular bodies, identical at each end, are delivered in a continuous stream from a vibratory bowl feeder and collation device, and they are presented to an adjacent point of access for the dextrous robot arm, to pick and place.

Each tubular body is picked up by the robot arm, and is placed precisely into the open side of the end cap, where it is pressed into place, held in that position by the snap fit design, trapping the Tyvek membrane therein firmly between the (bottom) end cap and the tubular body.

The part-assembled capsule, with the bottom Tyvek membrane held firmly in place by the snap-on bottom end cap, is now conveyed to the next stage.

Stage 4) Filling the Capsule

At this stage, capsules are correctly positioned for the filling process, being in an upright position with the open end at the top.

The empty capsules are passed beneath a volumetric portion filling head, and the correct amount and type of content is discharged from the filling head into the capsule.

The filled part-assembled capsule is now conveyed to the next stage.

Stage 5) Second assembly of plastic components

The "other end" caps complete with inserted Tyvek membrane disc are presented to an adjacent point of access for the dextrous robot arm, in an inverted ready to pick position, delivered to that point by conveyor from the stage 2 operation. The Tyvek is retained by an interference fit.

The inverted cap end is picked up by the robot arm, and is placed precisely onto the open top of the filled capsule body, where it is pressed into place, held in that position by the snap fit design, trapping the Tyvek membrane therein firmly between the cap and the tubular body.

The completely assembled capsule is now prepared for ultrasonic welding.

Stage 6) Ultrasonic welding the Desiccant Stopper together

The completely assembled capsule is presented to a position directly beneath the Actuator. The Actuator is set for distance of downward travel, and also set for the degree of force to be applied during the ultrasonic vibration period. It is also set for the length of time of contact with the work-piece.

The Converter is set for the degree of required Amplitude and the length of time of energising the Horn/(sonotrode).

The welding process is commenced with an electronic command from the controller; the duration of the entire operation is less than two seconds.

It will be understood that more than one capsule can be welded at a time. Dependant upon the type of ultrasonic vibratory equipment employed, and the physical size of the components, more than one weld can be executed on the same work-piece at the same time by tuning the Converter and the Acoustic Tooling to accommodate both near field and far field distances of energy transmission. Success is conditional on component design, size, and the suitability of the materials being used.

Fig 1A

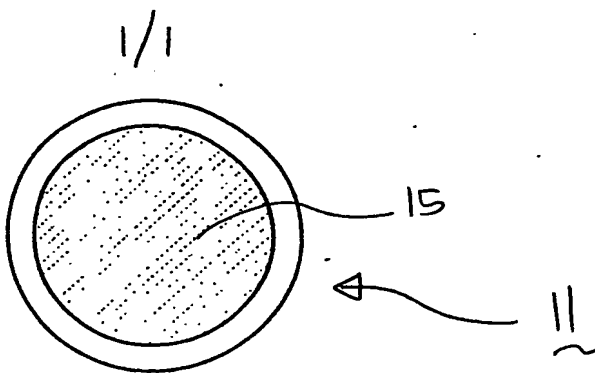


Fig 1B

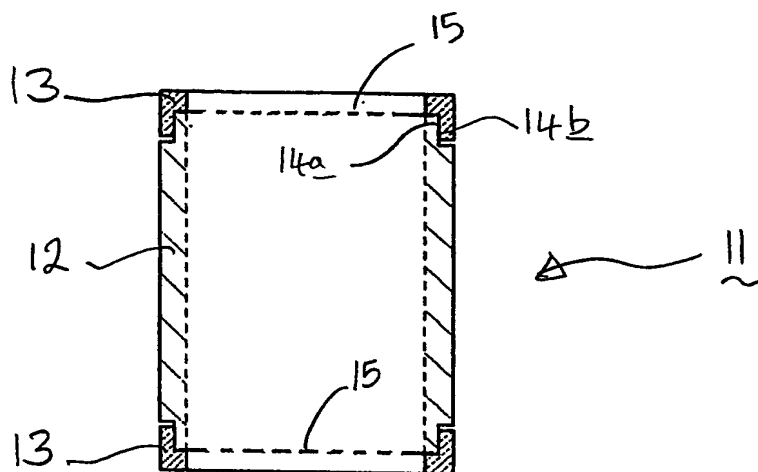


Fig 2A

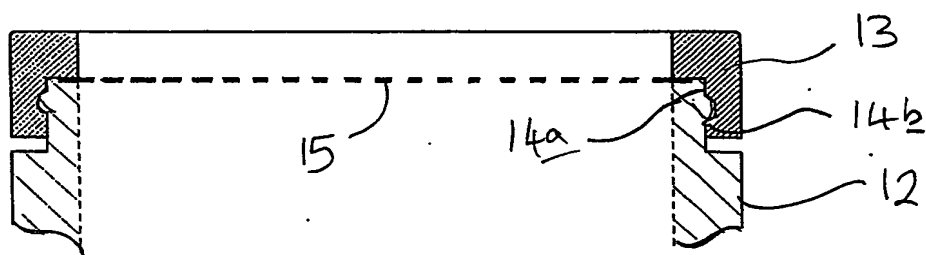


Fig 2B

